



Utah Department of  
**Health & Human Services**  
Integrated Healthcare

**Office of Substance Use and Mental Health (SUMH)**



**Final Audit Report of:**

**Utah County Prevention  
Contract #A03080**

**Audit Review Date: January 6, 2026**

## Executive Summary

In accordance with Section 26B-5-102, the Office of Substance Use and Mental Health (SUMH) conducted a review of Utah County Prevention, which is the agency within Utah County designated to provide prevention services as the prevention local authority (LA). The official date of the review was January 6, 2026 for FY25 (July 1, 2024 - June 30, 2025).

The focus of this examination was to evaluate the LA's compliance with: contract requirements, SUMH Directives, and Preferred Practice Guidelines. During the examination, the review teams evaluated: the reliability and integrity of the LA's data and its compliance with established programmatic and operational objectives. Additionally, the review included an examination, through sampling, of the LA's use of financial resources.

This report outlines the findings of the audit. Once the report is received by the LA, the LA will have one week (5 business days) to review the report and provide feedback to SUMH. At the end of the review period, the report will be finalized and a signed copy will be sent to the LA. If necessary, a Corrective Action Plan (CAP) report will also be issued and the report will be due back with input and responses from the LA within 14 calendar days from receipt of the signed audit report. For additional information about this process, please review the [FY26 Office Directives G&O Monitoring Process #4-5 pages 3-4](#).

If you have questions regarding this audit, please address them to Kelly Ovard by email at [kovard@utah.gov](mailto:kovard@utah.gov) or by phone at 385-310-5118.

## Findings

- There are no findings for Governance and Fiscal
- Programming
  - 2.1 - Utilization of Block Grant funds
  - 2.2 - Data User Gateway System (DUGS) data entry

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## **Governance & Fiscal Review**

The Office of Substance Use and Mental Health conducted its annual monitoring review of Utah County Prevention. The operations section of the review was conducted on January 6, 2026. State certification files were examined for compliance with state certification laws and adherence to contractual requirements, as well as the LA's own policy.

There is a current and valid contract in place between SUMH and Utah County Prevention. Utah County received an audit for the year ending December 31, 2024. The firm Gilbert and Stewart completed the audit and issued a report dated June 28, 2025. The auditors issued an unmodified opinion, There were no issues or findings in the audit.

### **Findings:**

There were no findings for Governance and Fiscal categories for the FY26 audit reviewing data for FY25 (July 1, 2024 - June 30, 2025)

## Program Review

The audit included a review of:

- findings from the FY25 Audit Report (audit of FY24 performance),
- the Area Plan,
- overall compliance with data reporting,
- the Strategic Plan,
- evidence that the Strategic Prevention Framework was followed,
- monitoring of coalitions,
- training activities completed by staff and/or coalition members,
- staff certification, or recertification every 3 years, in Utah Substance Abuse Prevention Specialist Training (SAPST),
- efforts that were made to influence Eliminating Alcohol Sales to Youth (EASY) checks,
- Prevention Scorecard data, including:
  - Logic Model Review Report (annual report),
  - percentage of programs that are evidence-based,
  - Data User Gateway System (DUGS) data timely submission rate,
  - verification that at least 30% of Substance Abuse Prevention and Treatment (SAPT) Block Grant funds were used for prevention, and
  - review of substance use trends, including the LA's plan to address upward trends.

### Findings:

#### **Finding 2.1 - Utilization of Block Grant funds**

The [FY25 SUMH Office Directives](#) (B. Prevention Services, d.) indicate "Each LA must spend a minimum of 30% of SAPT Block Grant funds on prevention policies, programs, strategies, and administration." During FY25, the LA spent 27% of Block Grant Funds on prevention, and according to the program management team this was a shortage of approximately \$100,000.

#### **Finding 2.2 - Data User Gateway System (DUGS) data entry**

The [FY25 SUMH Office Directives](#) (H. Service, Satisfaction and Outcome Data, vi. Prevention Data Requirements) indicate that the LA must enter prevention data into the SUMH approved system, Data User Gateway System (DUGS), within 45 calendar days of the delivery of service. During FY25, the LA entered DUGS data within the required 45 day timeframe 48% of the time. While this is an improvement from the FY24 finding that none of the data was entered on time, the requirement was still not adequately met.

## Signature Page

The Office of Substance Use and Mental Health:

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# Utah County Prevention FY26 Final Audit Report


Final Audit Report

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