



Utah Department of

Health & Human Services

Integrated Healthcare

SUMH G&O Narrative

State Fiscal Year 2027

Local Authority: Wasatch County

Table of Contents

Table of Contents	2
Overview	3
Governance and Oversight	4
General Auditing	4
Fiscal Auditing	4
Subcontractor Auditing Tools	5
Mechanisms for Citizen Input	5
Policies and Procedures Auditing	6

Overview

The purpose of this document is to meet contract compliance with the Local Authorities (LA) “Evergreen Contract” Article 1.2. “Office Directives” refers to the annual instructions promulgated by Department of Health and Human Services (DHHS), Office of Substance Use and Mental Health (SUMH), identified in Utah Code §26B-5-102(2). These directives describe the use and allowable expenditure of state and federal funds for the purpose of mental health and substance use prevention, crisis, treatment, and recovery support services.

This document is designed to be an addendum to the “Evergreen Contract”. It is updated prior to the start of the State Fiscal Year and may be updated during the State Fiscal Year when approved by the SUMH director or designee. DHHS will alert LA leadership via email to any updates to this document after the start of the State Fiscal Year. The LA is responsible to review both the “Evergreen Contract” and this document to ensure contract compliance.

Governance and Oversight (G&O)

General Auditing

Describe how auditing will be conducted, what items will be monitored and how required documentation will be kept up to date. [State Code 26-B-5-102\(2\)\(ee\)](#)

Wasatch County receives monthly monitoring and conducts quarterly reviews of data provided by WBH. That data combined with the annual financial audit of the county and WBH provide auditing oversight into the operation.

Fiscal Auditing

The [Service Code Auditing Guidelines](#) identify the mental health and substance use disorder treatment service codes that require documentation of services to be uploaded by spreadsheet for the audit. Services that can not be described by CPT/HCPCS code such as prevention, FRF and MCV (MCOT Vehicle costs) can be audited by providing a summary of invoices billed to Kissflow. There shall be a tab for each funding code utilized in the fiscal year.

Service Code Auditing Guidelines

The service code auditing guidelines are located at the end of the FFY for the Fiscal Year. These are the guidelines that the auditor uses to justify year end costs:

Has the LA read the guidelines provided in the FFH? **Yes** No

Are there any issues with complying with these guidelines with any service code allocated to you for FY27? Yes **No**

If there are any issues please identify each code and give an explanation: No.

Subcontractor Auditing and Tools

A list of subcontractors/subrecipients shall be provided prior to the audit. The subcontractor monitoring tool developed by SUMH shall be used in monitoring subcontractors. Subcontractor audits should be designed to evaluate the quality of services being provided, identify areas for improvement or training of subcontractors to help improve outcomes, ensure compliance with statute and office directives and provide accountability for state and federal funding. Explain how you use the provided state monitoring and other tools developed to fulfill the requirement of annually monitoring each subcontracted provider. ([See FY27 Office Directives Subcontractor Monitoring Review \(1\) page \(5\)](#))

The LA does not have contracted treatment providers.

Mechanisms for Citizen Input

Are you currently receiving public input and comments in the development of the annual area plan and budgets? State Code [17-77-301\(5\)\(a\)\(vii\)](#), [17-77-201\(5\)\(g\)](#)

Yes. Public comments and input is gathered through the completion of the annual MHSIP survey and the facilitation of relationships with community leaders and consumers that promote conversation regarding community needs and agency strengths and weaknesses. Wasatch County solicits input from community members as well as municipal partners as a part of the annual budgeting process.

How do you, or will you, solicit public comments and input on your annual area plan and budgets?

Public comments and input is gathered through the completion of the annual MHSIP survey and the facilitation of relationships with community leaders and consumers that promote conversation regarding community needs and agency strengths and weaknesses.

How do you track this public input? (Please provide supporting docs)

MHSIP survey data is gathered annually. Our agency maintains a database of this information that provides aggregate outcomes that can be easily queried, reviewed, and applied to process and service improvement.

Did you receive any input for the FY27 Area Plan?

Input for the FY27 area plan was received through the MHSIP surveys and on ongoing relationships with local leadership and consumers, gathered through agency related conversations and conversations regarding community needs.

Who are the local advocates and coalitions that will be invited to the public review of the Area Plans and Budgets for FY27?

Wasatch County Sheriff's Office, Wasatch County Health Dept., Heber City Police Dept., Wasatch County Manager, Wasatch County School District, and Caring Community Coalition.
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If none were invited to participate, who are the local coalitions and advocates that should be invited to participate this year for FY27?
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The above noted agencies will be invited.

Policies Procedures and Auditing

Per the [FY27 SUMH Office Directives](#), each LA shall develop, implement, and maintain written policies and procedures that encompass all requirements, including:

- i) governance and oversight,
- ii) substance use disorder prevention services,
- iii) suicide prevention services,
- iv) crisis services,
- v) mental health treatment services,
- vi) substance use disorder treatment services,
- vii) recovery support services,
- viii) data reporting, and
- ix) grant and contract reporting.

Does the Local Authority have the policies above in place? Yes No
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Are the policies up to date (5 years or less)? Yes No
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Please provide these policies with your uploads
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Additional Policy Auditing

Does the Local Authority have the policies below in place? Yes No
Are the policies up to date (5 years or less)? Yes
Please provide these policies with your uploads

The following audit items are located in the [SUMH FY27 Monitoring Handbook](#).

- A) Corrective Action Policy
- B) Standardized finding format
- C) Standardized CAP Tool/Root Cause Format
- D) Standardized Operating Procedure for CAP's
- E) Quality and Effectiveness Standards for Subcontractor Monitoring
- F) A Link to the FY27 Standardized Audit Tool