

Southwest

GOVERNANCE & OVERSIGHT NARRATIVE

3 Year Plan (2024-2026)

Local Authority: Southwest

Instructions:

In the cells below, please provide an answer/description for each question. **PLEASE CHANGE THE COLOR TO BLUE, OF SUBSTANTIVE NEW LANGUAGE INCLUDED IN YOUR PLAN THIS YEAR!**

1) Subcontractor Monitoring

The DHS Contract with Mental Health/Substance Abuse Local Authority states: When the Local Authority subcontracts, the Local Authority shall at a minimum:

- (1) Conduct at least one annual monitoring review of each subcontractor. The Local Authority shall specify in its Area Plan how it will monitor their subcontracts.

Describe how monitoring will be conducted, what items will be monitored and how required documentation will be kept up-to-date for active subcontractors.

Southwest has an extensive and expanding network of private providers. Perhaps larger than any other Local Authority outside of Salt Lake County. Per State expectations, subcontractors are monitored via regular site visits and documentation audits. A monitoring tool that captures OSUMH monitoring expectations is used. Site visits happen initially as we bring on a new subcontracting entity, and regularly for those with current contracts. If there are any concerns with a subcontractor, we are able to audit the program more frequently if needed.

Beyond program audits, general documentation audits are also provided on every subcontracted case at least annually. These documentation audits include reviewing and reading assessments, service plans, and progress notes to assure that only medically necessary services are being provided. Monitoring is facilitated by service authorizations which run at a 12-month maximum. When the authorization expires, the subcontractor must update the assessment and plan and request continued authorization. At that time, the case is reviewed and audited prior to the approval of more services.